

## Status of Publish Services 2022 for articles published under the 2020-2024 agreement

Arjan Schalken, Program Manager UKBsis, 2023-06-19

**Scope of the report:** This status update focusses on UNL, NFU, NWO, KNAW and HBO corresponding author publications. Used data source: Elsevier Open Access Portal Report for articles published under the contract and an additional Elsevier report focusing on articles published outside the agreement.

Overview articles submitted in/after 2020	Status 2020	Status 2021	Status 2022
I. Articles approved for Open Access under the deal	2951 (under current & previous contract: 4399)	5679 (under current & previous contract: 5780)	5534
II. Articles published in a journal outside the deal	97 (3%)	301 (5%)	263 (5%)
III. % missed Open Access (article eligible but author didn't make use of the deal)	3%	1%	2%
IV. % Open Access articles with CC-BY license (in line with Plan S and preferred by institutions) instead of more restricted CC-BY-NC-ND	87%	89%	90%

### Articles covered by the deal

- I. In 2022 5534 articles are approved for open access under the 2020 agreement. In 2021 this was 5679 (5780 including OA publications under de 2016-2019 agreement). The general conclusion is this is a correction for the Covid peak.
- II. In 2022 263 articles were published in an Elsevier journal that is not part of the deal, 127 (48%) are hybrid Cell and Lancet journals. This is 5% of the total amount and on par with the KPI score of a minimum of 95% coverage.

### Open Access Uptake

- III. Although no authors actively refused to make use of the open access agreement (thanks to the successful workflow improvement pilot in 2021), 126 articles had an incomplete 'author journey'. For example because the author didn't choose a CC BY license. This resulted in a missed OA score of 2%. Another attention point is that not all eligible publications enter the workflow because the corresponding author is not recognized.  
Based on UKB data Elsevier was presented a list with missed OA DOI's by UKB mid 2022 and January 2023. This resulted in additional OA uptake. Both cases show that there is still progress possible in the OA workflow. Elsevier is working on a 'holistic reporting project' to make more details including 'missed author journey' available. Attention points, work in progress and priorities are part of the regular workflow meetings between the consortium and Elsevier.

### Creative Commons Copyright License issues

- IV. License choice is relatively stable. Of the articles published in open access in 2021, 90% had a CC-BY license. This is the preferred license because it enables optimal re-usage and is Plan S compliant. 10% of the articles had a more restricted CC-BY-NC or CC-BY-NC-ND license. The Elsevier pilot to add a 3<sup>rd</sup> license choice (CC-BY-NC) doesn't seem to have impact.

*Issue:* the public parties raised concerns about misuse of a restrictive non commercial (NC) clause by Elsevier and other publishers. These concerns are one of main priorities to be tackled to next period, both in this agreement as internationally with other publishers. See elaboration on next page.